



# Overview of CARA and Substance Abuse Functionality

April 17, 2018
Ohio SACWIS Project

#### Agenda

- Objectives
- Comprehensive Addiction Recovery Act (CARA)
  - Requirements, Definitions, Plan of Safe Care
  - Screening Expectations
- New Intake Functionality
- Changes to Record Disposition
- New Family Assessment Functionality
- Future Enhancements

#### **Objectives**

- Compliance with the Comprehensive Addiction and Recovery Act (CARA)
  - NCANDS data collection and Reporting
  - Service Provision
  - Plan of Safe Care
- Documentation of Substance Abuse concerns throughout the life of the case
- Ability to provide requested data to various state and local community partners

### Challenges

- Short project timeline to meet compliance deadlines
- Planned revisions to the CAPMIS assessment tools based on recommendation from the evaluation
- Phased implementation

#### **CARA Requirements**

- The Secretary of Health & Human Services is required to gather data.
- Each state is required to apply policies and procedures to address infants affected by all substance abuse.
  - Ohio Administrative Code Chapter 5101:2-36 Screening & Investigation
- Requires the following data to be reported to the National Child Abuse and Neglect Data System (NCANDS):
  - The number of infants identified as being affected by substance abuse, withdrawal symptoms resulting from prenatal drug exposure or Fetal Alcohol Spectrum Disorder
  - The number of infants for whom a Plan of Safe Care was developed
  - The number of infants for whom referrals were made for appropriate services including services for the affected family or caregiver

#### **CARA Definitions**

- Openitions within CARA:
  - Infant: A child under the age of 12 months
  - **Substance Exposed:** A child under the age of 12 months who has been subjected to legal or illegal substance abuse while in utero.
  - Substance Affected: A child under the age of 12 months who has any detectable physical, developmental, cognitive, or emotional delay or harm which is associated with a parent, guardian, or custodian's abuse of a legal or illegal substance; excluding the use of a substance by the parent, guardian, or custodian as prescribed.

### Plan of Safe Care

A <u>Plan of Safe Care</u> is an arrangement that addresses the immediate safety of the affected infant, the treatment needs of the infant, and the health and substance use disorder treatment needs of the affected family or caregiver. The plan is developed with the parents or other caregivers, as well as the collaborating professional partners and agencies involved in caring for the infant and family.

Information to be gathered to ensure adequate Plan of Safe Care is in place:

- Hospital or medical facility where the infant is being treated
- Treating physician, nurse, social worker, etc.
- Toxicology results and symptoms
- Medical intervention to treat withdrawal symptoms
- Medical information on the infant (current diagnosis, prescription medication, therapies or treatment)
- Health and substance use history of the mother, father, caregiver(s) (their diagnosis, prescribed medications, AOD treatment provider(s), treatment plan, and contact information for these collaterals)
- Treatment plan at discharge for the infant, mother and/or caregiver(s)

### **Screening Expectations**

PCSAs are required to collect the following information on all referrals involving an infant who has been identified as being substance exposed:

- ☐ Ensure a Plan of Safe Care has been established.
- ☐ Ensure the Plan of Safe Care addresses the safety needs of the infant.
- ☐ Ensure the Plan of Safe Care addresses the health and substance use disorder treatment needs of the affected family or caregiver(s).

NOTE: The referral should be <u>screened in</u> for investigation if the above information is not available or not met.

- Current fields for documenting substance abuse concerns are consolidated on the Basic tab
- When substance abuse concerns are identified by the referent, Screener will record information for each participant on a new Substance Use tab
  - Identify participant(s) reportedly abusing substances, and specify the drug(s)
  - Identify infants under 12 months exposed to substances and/or affected by substance abuse, with details including the specific substance(s)

- New CARA badge to display in the Intake header and on the Decision confirmation page when the information recorded indicates CARA applies
- Decision Comments are now required for ALL Screened Out Intakes when the reason for screening out is either:
  - No allegations of child abuse/neglect
  - Does not meet agency criteria for service
- When decision is to Screen Out a CARA Intake for either of the above reasons, Screening Decision Maker (SDM) must answer an additional question about the plan of safe care, with a link to information about CARA requirements
  - Prompts display on the screen depending on whether or not there is an adequate plan of safe care

#### **Basic Tab**

Does this report require a Specialized Assessment/Investigation?	No •	
Does this report require Third Party involvement due to a potential conflict of interest?	No •	
This report involves the following substance use concerns (check all that  Abuse of legal or illegal substances by any family member  An infant identified as affected by legal or illegal substance use  An infant having withdrawal symptoms resulting from prenatal drug of the infant diagnosed with Fetal Alcohol Spectrum Disorder  None of the above	e (including positive toxicology for any substanc	e at birth)
How did the reporter become aware of the substance abuse?	Positive Toxicology	•
Living arrangement at time of intake:		:▼.
Is law enforcement involved?		, <b>v</b>
What is the screening priority of this report?	None specified  LOW  MED  HIGH	

#### Substance Use Tab

Reporter

Basic

Participants

ACV/AP Detail

Allegations

Substance Use

#### Substance Use

edit

18750880 - Doe, Babykins Female < 1, 04/01/2018 Alleged Child Victim (ACV)

Substances Identified:

Buprenorphine (Suboxone)

Heroin

Services Provided/Referred:

Drug/alcohol treatment, Medical treatment, Community Supports

Infant is experiencing the following:

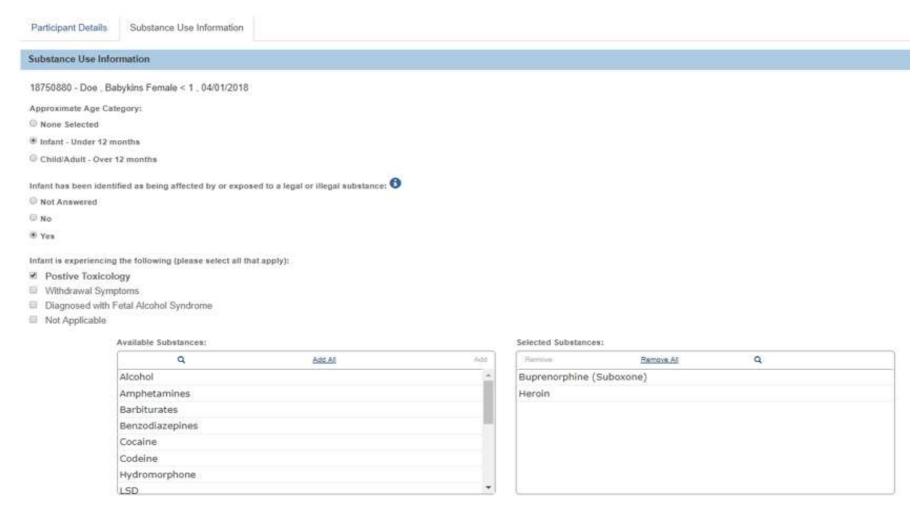
Positive Toxicology

edit

18750879 - Doe, Mama Female 32, 05/05/1985 Alleged Perpetrator (AP)

No substance use information has been entered for this Participant.

#### Participant Substance Use Information Page-Infant



#### Participant Substance Use Information Page- Infant (Continued)

For all the selected su	bstances, is there a valid prescription that has been verified and is being used as prescribed:
Not Answered	
● No	
O Yes	
Is referral source awar	e of any service referrals made on behalf of the infant and/or the parent/caregiver?
Yes ▼	
Select all that apply:  Drug/alcohol treatment Medical treatment	atment at (prescriptions, medical treatments, etc.)
Mental Health trea	itment
Community Supp	orts (housing, food, mentoring, etc.)
✓ Other	
"Other" service referra	I:
Other service	

#### Participant Substance Use Information Page- Child/Adult

Participant Details

Substance Use Information

#### Substance Use Information

18750879 - Doe . Mama Female 32 . 05/05/1985

Approximate Age Category:

- None Selected
- Infant Under 12 months
- Child/Adult Over 12 months

Are there current concerns for any of the following for this participant?

- · Abuse of any substance including illegal drugs, prescription medications, or other substance.
- · Child has ingested any substance including illegal drugs, prescription medications, or other substance.
- · Concern for any child affected by any substance including illegal drugs, prescription medications, or other substance.

This may include a medication not taken as prescribed, medication not prescribed to the user, or other substance which can be legally purchased such as alcohol or inhalants.

- Not Answered
- O No
- Yes

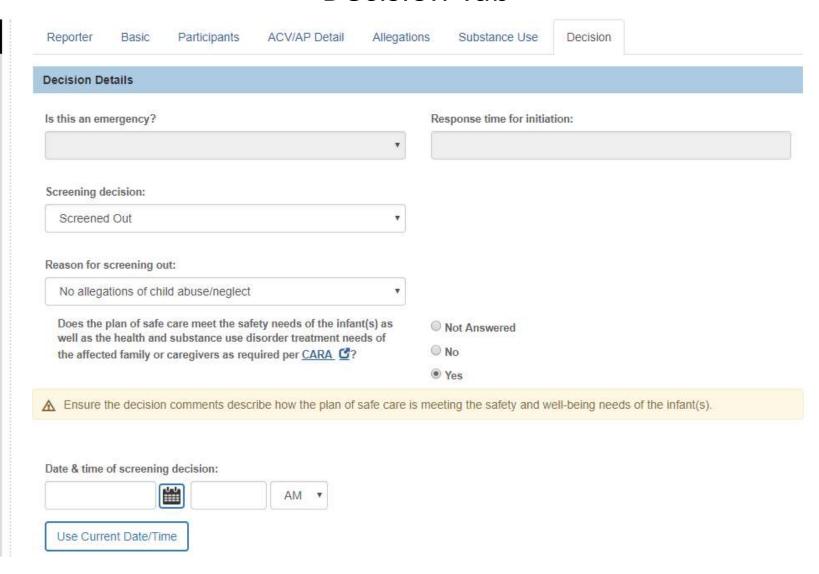
#### Available Substances:



#### Selected Substances:



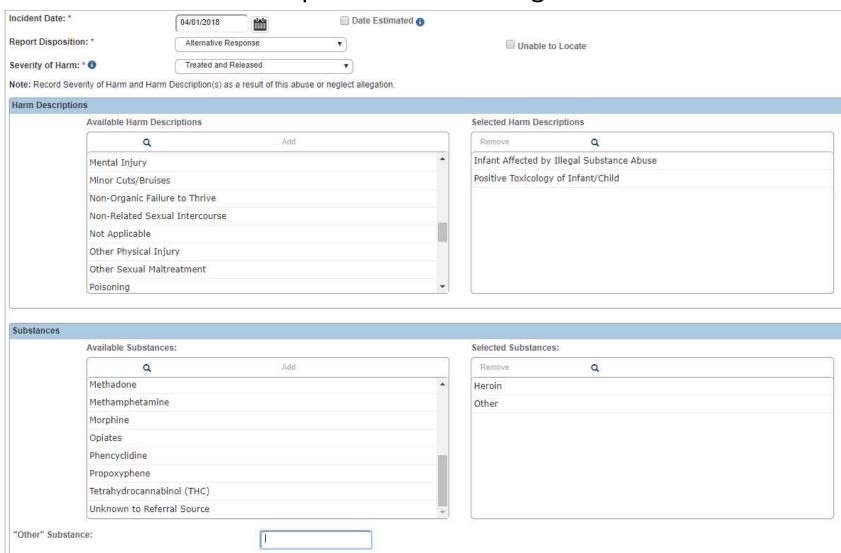
#### **Decision Tab**



# QUESTIONS?

- New Multi-select Substances Field on the Disposition Details page
  - Applicable to the Harm Description(s) for the Allegation
  - Required when any Substance-Related Harm Description has been selected
  - Selected substances display with the Allegation Details on the Disposition Information page for easy reference

#### **Disposition Details Page**



#### **Disposition Information Page**

Case > Workload > Intake > Intake Dispositions

Disposition Information					Contributing Factors			
CASE NAME / ID: <b>Doe, Mama</b> / 41957968				Alternative	Response Assessment / Open (04/01/2018)			
Allegatio	on Details							
Intake II	):	14577606	Intake Category:	CA/N Report	Screening Decision Date:	04/01/2018	9.	
	ACV/CSR	Allegation	AP/ASR	Severity of Harm	Harm Description	Substance(s)	Incident Date	
		Disposition						
edit Doe Babyk	Doe, Babykins / 18750880	Physical Abuse	Doe, Mama / 18750879	Treated and Released	Infant Affected by Illegal Substance Abuse Positive Toxicology of Infant/Child	Heroin Other	04/01/2018	
		Alternative Response				T.W.		

# QUESTIONS?

- New Substance Use tab
  - Pulls Substance Use information forward from the linked Intake(s) as applicable
  - When Substance Use Concerns are present, specific information will be documented for each participant
    - Whether or not there are current substance-related concerns for each person
    - Specific substance(s) of concern documented via confirmation of Person Characteristics
    - Confirmation of verified prescription for substances infant was exposed to/affected by
    - Checkboxes to document types of service referrals for CARA

#### Substance Use Tab

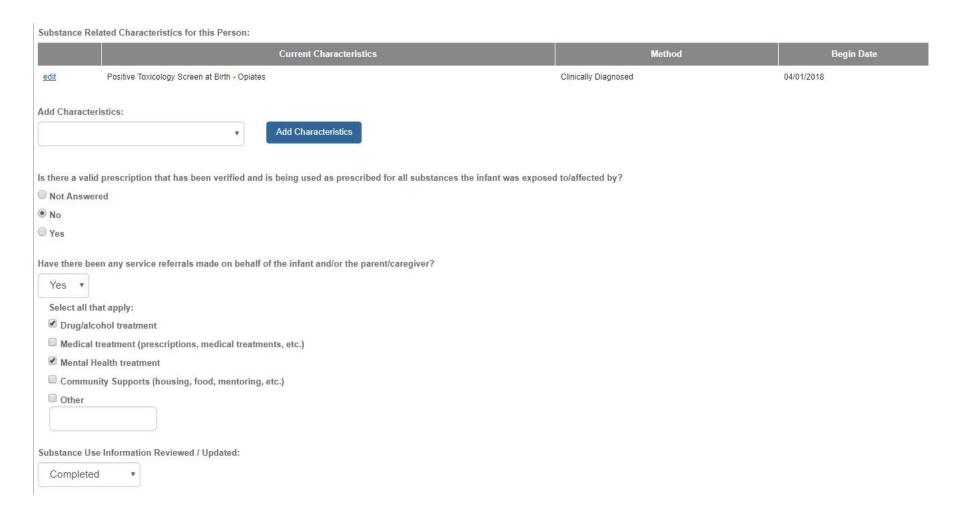
Safety Review	Strengths & Needs	Risk Assessment	Substance Use	Case Analysis		
39809962	AR Family Assessment ID:		27062049			
Doe, Mama	AR Family Ass	essment Status:	In Progress			
e concerns have been identified (check all t	hat apply): 0					
substances by any family member						
fected by legal or illegal substance use (inc	luding positive toxicology for any sub	ostance at birth)				
wal symptoms resulting from prenatal drug	exposure (legal or illegal)					
Fetal Alcohol Spectrum Disorder						
<u>17652779 - Doe, Baby</u> Female 0, 01/01/2018						
Characteristics Identified:						
Positive Toxicology Screen at Birtl	h - Opiates					
<u>17652780 - Doe, Mama</u> Requires Female 29, 08/08/1988	Review					
Substances Reported:						
Heroin						
	39809962 Doe, Mama  e concerns have been identified (check all to substances by any family member fected by legal or illegal substance use (income wall symptoms resulting from prenatal drug to Fetal Alcohol Spectrum Disorder  17652779 - Doe, Baby Female 0, 01/01/2018  Characteristics Identified: Positive Toxicology Screen at Birth 17652780 - Doe, Mama Requires Female 29, 08/08/1988  Substances Reported:	39809962  Doe, Mama  AR Family Ass  e concerns have been identified (check all that apply):  substances by any family member  fected by legal or illegal substance use (including positive toxicology for any subwal symptoms resulting from prenatal drug exposure (legal or illegal)  Fetal Alcohol Spectrum Disorder  17652779 - Doe, Baby  Female 0, 01/01/2018  Characteristics Identified:  Positive Toxicology Screen at Birth - Opiates  17652780 - Doe, Mama Requires Review  Female 29, 08/08/1988  Substances Reported:	39809962 AR Family Assessment JD: Doe, Mama AR Family Assessment Status:  e concerns have been identified (check all that apply):  substances by any family member fected by legal or illegal substance use (including positive toxicology for any substance at birth) wal symptoms resulting from prenatal drug exposure (legal or illegal)  1 Fetal Alcohol Spectrum Disorder  17652779 - Doe, Baby Female 0, 01/01/2018  Characteristics Identified: Positive Toxicology Screen at Birth - Opiates  17652780 - Doe, Mama Requires Review Female 29, 08/08/1988  Substances Reported:	39809962 AR Family Assessment JD: 27062049 Doe, Mama AR Family Assessment Status: In Progress  e concerns have been identified (check all that apply): ● substances by any family member fected by legal or illegal substance use (including positive toxicology for any substance at birth) wal symptoms resulting from prenatal drug exposure (legal or illegal)  i Fetal Alcohol Spectrum Disorder  17652779 - Doe, Baby Female 0, 01/01/2018  Characteristics Identified: Positive Toxicology Screen at Birth - Opiates  17652780 - Doe, Mama Requires Review Female 29, 08/08/1988  Substances Reported:		

#### Family Assessment Participant Details-Infant

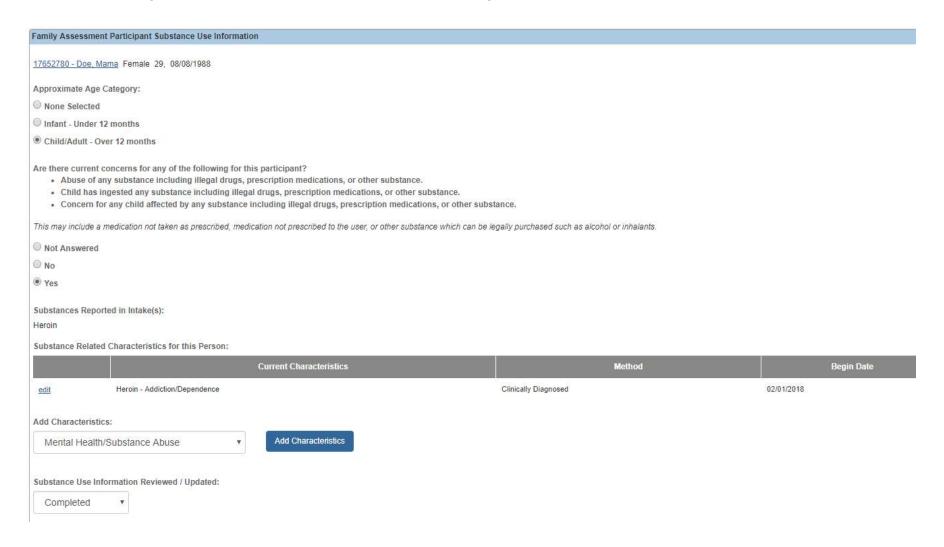
Family Assessment Participant Details

Family Assessment Participant Substance Use Information	
<u>17652779 - Doe, Baby</u> Female 0, 01/01/2018	
Approximate Age Category:	
O None Selected	
Infant - Under 12 months	
O Child/Adult - Over 12 months	
Infant has been identified as being affected by or exposed to a legal or illegal substance: 6	
O Not Answered	
○ No	
● Yes	
Infant is experiencing the following (select all that apply):	
✓ Positive Toxicology	
☐ Withdrawal Symptoms	
☐ Diagnosed with Fetal Alcohol Syndrome	
□ Not Applicable	
Substances Reported in Intake(s):	
Opiates	

#### Family Assessment Participant Details-Infant (Continued)



#### Family Assessment Participant Details- Child/Adult



# QUESTIONS?

#### **Future Enhancements**

- SACWIS Build 3.17 (Summer 2018)
- Substance Use tab
  - Specialized Assessment/Investigation
  - Ongoing Case Assessment
- Initial Removal Record
  - Multi-select Substance(s) field will display and be required when a substance related Initial Removal Reason is selected
- Case Closure
  - In any Ongoing or AR Ongoing case, if information on the Substance Use tab in any Family/Ongoing/Specialized Assessment within the case episode includes a substance affected/exposed infant, a message on the case closure page will prompt the user to ensure the case closure summary describes how the CARA requirements have been met

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